

# Information on the processing of personal data in the Investor Relations department of thyssenkrupp AG



thyssenkrupp

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## Your personal data are important to us.

thyssenkrupp AG (“we”, “us”, “our”) takes the protection of your data very seriously.

This privacy statement explains how and what information is collected in Investor Relations, why it is collected and to whom it is forwarded or disclosed. Please therefore read this privacy statement very carefully.

### 1. Who is responsible for the processing?

**Controller** for the processing is

thyssenkrupp AG  
Investor Relations  
thyssenkrupp Allee 1  
45143 Essen  
T: +49 201 844 - 0  
F: +49 201 844 – 536000  
Email: [ir@thyssenkrupp.com](mailto:ir@thyssenkrupp.com)

**Our data protection officer can be reached at:**

thyssenkrupp AG  
Der Datenschutzbeauftragte  
thyssenkrupp Allee 1  
45143 Essen  
T: +49 201 844 - 0  
Email: [datenschutzbeauftragter@thyssenkrupp.com](mailto:datenschutzbeauftragter@thyssenkrupp.com)

### 2. Which personal data do we process?

We keep capital market participants regularly informed about news and developments in the company through our free Investor Relations email newsletter service. Newsletters are sent via mailing lists using the electronic platform of a service provider, for which the following data are processed:

- Name
- Contact details (e.g. address, company name, email address)
- Information on communication history and content
- Type of capital market participant (e.g. analyst, investor, hedge fund)
- Data on participants at IR marketing events (e.g. for creating lists of participants or name badges)

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We also use data provided by you (e.g. name, contact details) to process your inquiry or provide you with the required information.

### **3. For what purposes and on what legal basis are data processed?**

We collect and use the personal data you provide for the following purposes:

- To send information to capital market participants
- To process inquiries relevant to the capital market
- To organize and hold IR marketing events (e.g. conference calls, roadshows, capital market days)
- To track communications with/reporting to capital market participants

The legal basis for data processing is Art 6 (1) (f) GDPR (protection of legitimate interests). Our legitimate interest consists in providing regular or event-driven information to/communications with capital market participants and in defending our case in the event of legal disputes.

The legal basis for processing personal data to comply with legal obligations is Art. 6 (1) (c) of the General Data Protection Regulation.

### **4. Who receives your data?**

Your data are processed within thyssenkrupp AG by the employees who need this information to fulfill the purposes described in section 3.

Within our group of companies your data are transmitted to certain companies who perform centralized data processing tasks for the companies affiliated in the group.

In addition, to fulfill our contractual and legal obligations, we sometimes use different external service providers who are required by data processing agreements to observe data protection laws, Art. 4 (8) GDPR.

### **5. What are your rights in relation to your personal data?**

Where permitted by applicable law or regulation, you have the right to:

- Request access to your personal data
- Information about the origin of the data, the purpose and end of the processing, details of the data controllers, the data processors, and the parties to whom the data are disclosed
- Rectification and updating of your personal data and completion of any incomplete personal data

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- Erasure of your personal data where no longer needed to fulfill the above purposes
  - Right to object to the processing of your personal data, explained in more detail at the end of this section
  - Right to file a complaint with us and/or the competent data protection authority

### **Information about your right of objection according to Art. 21 of the General Data Protection Regulation (GDPR)**

For reasons that arise from your particular situation, you have the right to object to the processing of your personal data at any time pursuant to Article 6 (1) (f) GDPR (data processing on the basis of a balance of interests).

If you file an objection, we will no longer process your personal data unless we can demonstrate compelling legitimate grounds for processing that outweigh your interests, rights and freedoms, or the processing is for the purposes of asserting, exercising or defending legal claims.

To exercise your rights, please contact us using the contact details provided in section 1.

The data protection authority responsible for us is:

Die Landesbeauftragte für Datenschutz und Informationsfreiheit Nordrhein-Westfalen  
Postfach 20 04 44  
40102 Düsseldorf  
T: +49 211 38424 - 0  
F: +49 211 38424 - 10  
Email: [poststelle@ldi.nrw.de](mailto:poststelle@ldi.nrw.de).

## **6. Are you obliged to provide your data?**

There is no contractual or legal obligation to provide personal data. However, without processing your personal data we will not be able to provide you with the required information or process your inquiry.

## **7. For how long do we retain your personal data?**

We retain your personal data for as long as a liability risk exists for us under the applicable legislation. We do not retain your personal data for longer than is necessary for the above-referenced purposes.

Statutory retention periods apply.