

# Information for intermediaries and proxy advisors

thyssenkrupp AG  
February 3, 2023



thyssenkrupp

## Information in accordance with § 125 of the German Stock Corporation Act (AktG) in conjunction with Article 4 and Annex Table 3 of the Commission Implementing Regulation (EU) 2018/1212 (“EU-IR”)

### A. Specification of the message

1. Unique identifier of the event: Virtual Annual General Meeting of thyssenkrupp AG 2023  
**(formal specification according to EU-IR: 3671c79d-4ae4-44a6-886c-aad1f851906b)**
2. Type of message: Convening of the Annual General Meeting  
**(formal specification according to EU-IR: NEWM)**

### B. Specification of the issuer

1. ISIN: DE0007500001
2. Name of issuer: thyssenkrupp AG

### C. Specification of the meeting

1. Date of the Annual General Meeting: February 3, 2023  
**(formal specification according to EU-IR: 20230203)**
2. Time of the Annual General Meeting: 10:00 a.m. (CET)  
**(formal specification according to EU-IR: 9:00 a.m. UTC)**
3. Type of meeting: Annual General Meeting as a virtual Annual General Meeting without the physical presence of shareholders or their proxies (with the exception of the Company’s proxies)  
**(formal specification according to EU-IR: GMET)**
4. Location of the meeting:  
URL to the Company’s InvestorPortal for following the Annual General Meeting by means of video and audio transmission and for exercising shareholders’ rights: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>  
  
The venue for the Annual General Meeting within the meaning of the German Stock Corporation Act is the headquarters of thyssenkrupp AG, thyssenkrupp Allee 1, 45143 Essen, Germany  
**(formal specification according to EU-IR: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>)**
5. Technical Record Date (Technical Record Date <sup>1</sup>):  
January 12, 2023, 12:00 p.m. UTC  
**(formal specification according to EU-IR: 20230112; 11:00 p.m. UTC)**
6. Website for the Annual General Meeting/URL: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>

<sup>1</sup> Please note that the Technical Record Date is a date that is relevant in the context of banking processes under the formal requirements defined in Implementing Regulation (EU) 2018/1212 and is not the same as the record date within the meaning of § 123 (4) sentence 2 AktG. Please refer to the invitation to the Annual General Meeting/agenda for more detailed explanations of the record date under the German Stock Corporation Act and its importance.

### D. Participation in the Annual General Meeting

1. Method of participation by shareholder: Following of the virtual Annual General Meeting by means of electronic video and audio transmission (also by proxy)

Participation by means of electronic communication via the InvestorPortal (also by proxy)

Exercise of voting rights by casting postal votes electronically or by issuing proxy authorization and voting instructions to the Company-nominated proxies via the InvestorPortal (also by proxy)  
**(formal specification according to EU-IR: VI; PX)**

## 2. Issuer deadline for the notification of participation

Registration for the Annual General Meeting by midnight (CET) on January 27, 2023 (time of receipt is decisive)

The exercise of voting rights by casting postal votes electronically or the exercise of voting rights by issuing proxy authorization and voting instructions to the Company-nominated proxies requires registration for the meeting on time.

The relevant deadlines for exercising these rights are set out in D.3.

**(formal specification according to EU-IR: 20230127; 11:00 p.m. UTC)**

## 3. Issuer deadline for voting

After shareholders have registered on time for the meeting, voting rights can be exercised by postal votes being cast electronically or by proxy authorization being granted, including a change between these options, via the InvestorPortal up to the time specified by the Chair of the Meeting during the voting process on the day of the Annual General Meeting (time of receipt is decisive).

**(formal specification according to EU-IR: 20230203)**

Via the InvestorPortal <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>. The Annual General Meeting can be followed by means of electronic video and audio transmission from 10:00 a.m. (CET) on February 3, 2023 until the end of the Annual General Meeting.

**(formal specification according to EU-IR: 20230203; 9:00 a.m. UTC)**

## E. Agenda

### Agenda Item 1

1. Unique identifier of the agenda item: 1
2. Title of the agenda item: **Presentation of the adopted financial statements of thyssenkrupp AG and the consolidated financial statements as of September 30, 2022, the combined management report on thyssenkrupp AG and the Group for the 2021/2022 fiscal year, the report by the Supervisory Board and the explanatory report by the Executive Board on the information pursuant to § 289a and § 315a German Commercial Code (HGB)**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: none  
**(formal specification according to EU-IR: -)**
5. Alternative voting options: none  
**(formal specification according to EU-IR: -)**

### Agenda Item 2

1. Unique identifier of the agenda item: 2
2. Title of the agenda item: **Resolution on the use of unappropriated net income**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

### Agenda Item 3

1. Unique identifier of the agenda item: 3
2. Title of the agenda item: **Resolution on the ratification of the acts of the members of the Executive Board**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

### Agenda Item 4

1. Unique identifier of the agenda item: 4
2. Title of the agenda item: **Resolution on the ratification of the acts of the members of the Supervisory Board**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

### Agenda Item 5

1. Unique identifier of the agenda item: 5
2. Title of the agenda item: **Resolution on the election of the auditors for the parent-company and consolidated annual financial statements and the auditors' review of interim financial reports**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

### Agenda Item 6

1. Unique identifier of the agenda item: 6
2. Title of the agenda item: **Resolution on approval of the compensation report**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Advisory vote  
**(formal specification according to EU-IR: AV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 7.1

1. Unique identifier of the agenda item: 7.1
2. Title of the agenda item:  
**Resolution on new elections to the Supervisory Board – Prof. Dr.-Ing. Dr.-Ing. E.h. Siegfried Russwurm**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 7.2

1. Unique identifier of the agenda item: 7.2
2. Title of the agenda item:  
**Resolution on new elections to the Supervisory Board – Birgit A. Behrendt**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 7.3

1. Unique identifier of the agenda item: 7.3
2. Title of the agenda item:  
**Resolution on new elections to the Supervisory Board – Dr. Patrick Berard**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 7.4

1. Unique identifier of the agenda item: 7.4
2. Title of the agenda item:  
**Resolution on new elections to the Supervisory Board – Dr. Wolfgang Colberg**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 7.5

1. Unique identifier of the agenda item: 7.5
2. Title of the agenda item:  
**Resolution on new elections to the Supervisory Board – Angelika Gifford**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 7.6

1. Unique identifier of the agenda item: 7.6
2. Title of the agenda item:  
**Resolution on new elections to the Supervisory Board – Dr. Bernhard Günther**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 7.7

1. Unique identifier of the agenda item: 7.7
2. Title of the agenda item:  
**Resolution on new elections to the Supervisory Board – Dr. Ingo Luge**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## Agenda Item 8

1. Unique identifier of the agenda item: 8
2. Title of the agenda item:  
**Resolution on amendments to the Articles of Association to enable virtual Annual General Meetings in the future**
3. Uniform Resource Locator (URL) of the materials: <https://www.thyssenkrupp.com/en/investors/annual-general-meeting>
4. Vote: Binding vote  
**(formal specification according to EU-IR: BV)**
5. Alternative voting options: Vote in favor, Vote against, Abstention  
**(formal specification according to EU-IR: VF; VA; AB)**

## F. Specification of the deadlines regarding the exercise of other shareholder rights

### Shareholder Right – Requests for additions to the agenda pursuant to § 122 (2) AktG

1. Object of deadline: Submission of the request for an addition to the agenda
2. Applicable issuer deadline: Midnight (CET) on January 3, 2023 (time of receipt is decisive)  
**(formal specification according to EU-IR: 20230103; 11:00 p.m. UTC)**

### Shareholder Right – Counterproposals pursuant to § 126 (1) AktG

1. Object of deadline: Submission of the counterproposal to the resolutions proposed on the agenda items
2. Applicable issuer deadline: Midnight (CET) on January 19, 2023 (time of receipt is decisive)  
**(formal specification according to EU-IR: 20230119; 11:00 p.m. UTC)**

### Shareholder Right – Election proposal pursuant to § 127 AktG

1. Object of deadline: Submission of the proposal for election of Supervisory Board members or auditors
2. Applicable issuer deadline: Midnight (CET) on January 19, 2023 (time of receipt is decisive)  
**(formal specification according to EU-IR: 20230119; 11:00 p.m. UTC)**

### Shareholder Right – Right to submit statements pursuant to § 130a (1) to (4) and (6) AktG

1. Object of deadline: Submission of statements on agenda items by means of electronic communication via the InvestorPortal
2. Applicable issuer deadline: Midnight (CET) on January 28, 2023 (time of receipt is decisive)  
**(formal specification according to EU-IR: 20230128; 11:00 p.m. UTC)**

### Shareholder Right – Right to speak pursuant to § 130a (5) and (6) AktG

1. Object of deadline: Right to speak at the Annual General Meeting by means of video communication via the InvestorPortal
2. Applicable issuer deadline: on February 3, 2023 during the Annual General Meeting  
**(formal specification according to EU-IR: 20230203)**

### Shareholder Right – Right to obtain information pursuant to § 131 AktG

1. Object of deadline: Right to obtain information at the Annual General Meeting by means of video communication via the InvestorPortal
2. Applicable issuer deadline: on February 3, 2023 during the Annual General Meeting  
**(formal specification according to EU-IR: 20230203)**

### Shareholder Right – Objections to resolutions of the Annual General Meeting in accordance with § 118a (1) sentence 2 no. 8 AktG in conjunction with § 245 AktG

1. Object of deadline: Submission of objections to resolutions of the Annual General Meeting electronically via the InvestorPortal
2. Applicable issuer deadline: On February 3, 2023 from the beginning of the Annual General Meeting until the closure of the Annual General Meeting by the Chair of the Meeting  
**(formal specification according to EU-IR: 20230203)**

thyssenkrupp AG  
thyssenkrupp Allee 1  
45143 Essen, Germany  
[www.thyssenkrupp.com](http://www.thyssenkrupp.com)

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